

Kingswood House School External Examination Contingency Plan

This Policy is reviewed annually to ensure compliance with current regulations and must be read in conjunction with other relevant Kingswood House School policies.

Related Policies:

- All External Examination Policies
- Crisis Plan and Procedures
- Child Protection and Safeguarding Policy
- Fire Safety and Emergency Evacuation Policy and Procedures
- Health and Safety Policy

This list is not exhaustive.

Policy reviewed by: Exams Officer, Mrs Pippa Webb

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Purpose of the plan

This plan examines potential risks and issues that could cause disruption to the exams process at Kingswood House School. By outlining actions/procedures to be invoked in case of disruption it is intended to mitigate the impact these disruptions have on our exam process.

Alongside internal processes, this plan is informed by the Ofqual **Exam system contingency plan: England, Wales and Northern Ireland** which provides guidance in the publication What schools and colleges and other centres should do if exams or other assessments are seriously disrupted and the **JCQ Joint Contingency Plan** for the Examination System in England, Wales and Northern Ireland.

This plan also confirms Kingswood House School compliance with JCQ's **General Regulations for Approved Centres** (section 5.3) that the centre has in place:

a written examination contingency plan which covers all aspects of examination administration.
This will allow members of the senior leadership team to act immediately in the event of an
emergency or staff absence. The examination contingency plan should reinforce procedures in
the event of the centre being unavailable for examinations, or on results day, owing to an
unforeseen emergency. The potential impact of a cyber-attack should also be considered.

Possible causes of disruption to the exam process

1. Exam officer extended absence at key points in the exam process (cycle)

Criteria for implementation of the plan

Key tasks required in the management and administration of the exam cycle not undertaken including:

Planning

- annual data collection exercise not undertaken to collate information on qualifications and awarding body specifications being delivered
- annual exams plan not produced identifying essential key tasks, key dates and deadlines
- sufficient invigilators not recruited

Entries

- awarding bodies not being informed of early/estimated entries which prompts release of early information required by teaching staff
- candidates not being entered with awarding bodies for external exams/assessment
- awarding body entry deadlines missed or late or other penalty fees being incurred

Pre-exams

- invigilators not trained or updated on changes to instructions for conducting exams
- exam timetabling, rooming allocation; and invigilation schedules not prepared
- candidates not briefed on exam timetables and awarding body information for candidates
- confidential exam/assessment materials and candidates' work not stored under required secure conditions
- internal assessment marks and samples of candidates' work not submitted to awarding bodies/external moderators

Exam time

- exams/assessments not taken under the conditions prescribed by awarding bodies
- required reports/requests not submitted to awarding bodies during exam/assessment periods, for example very late arrival, suspected malpractice, special consideration
- candidates' scripts not dispatched as required for marking to awarding bodies

- Results and post-resultsaccess to examination results affecting the distribution of results to candidates
- the facilitation of the post-results services

Centre actions to mitigate the impact of the disruption

- The Exams Officer is familiar with working from home and has a work laptop which can access all online tasks.
- The Lead Invigilator is trained on all aspects of running exams and preparing for exams. They are self-employed and very flexible.
- The Bursar has access to the Exams Officers emails and online files so notifications can be dealt with.
- Exams Officer Bible has details of how to do all tasks and deadlines and is kept in the Exams Office.
- There are three additional key holders to the Exams Office and safes, the Headmaster, the Deputy Head and the Bursar.
- Exams Committee meet fortnightly before mocks and weekly before external exams and discuss processes and procedures with minutes. Exams Committee consists of the Exams Officer, Headmaster, Deputy Head, Director of Studies, Head of Seniors, SENCo and the Head of IT.
- Director or Studies and Deputy Head are experienced in planning and running exams. Head of Seniors has experience of the Exam Officers role.
- The Senior SENCo works very closely with the Exams Officer and has an excellent insight into the role.
- The Exams Officer is part of the Guildford and Epsom Exams Officers Network groups who support each other. A member of the Exams Committee will be given access to the group if necessary.
- Exam Boards are available to support.
- The School is a member of The Exams Office which provides a wealth of information and support.

2. ALS lead/SENCo extended absence at key points in the exam cycle

Criteria for implementation of the plan

Key tasks required in the management and administration of the access arrangements process within the exam cycle not undertaken including:

Planning

- candidates not tested/assessed to identify potential access arrangement requirements
- centre fails to recognise its duties towards disabled candidates as defined under the terms of the Equality Act 2010
- evidence of need and evidence to support normal way of working not collated

Pre-exams

- approval for access arrangements not applied for to the awarding body
- centre-delegated arrangements not put in place
- modified paper requirements not identified in a timely manner to enable ordering to meet external deadline
- staff (facilitators) providing support to access arrangement candidates not allocated and trained

Exam time

access arrangement candidate support not arranged for exam rooms

- The Senior SENCo is familiar with working from home and has a company laptop which can access all online tasks.
- The Lower & Upper Prep SENCo and Senior SENCo works very closely with the Exams Officer who
 has a very good insight into the role.
- The Lower & Upper Prep SENCo works very closely with the Senior SENCo and has a very good insight

into the role.

- The Lower & Upper Prep SENCo and Senior SENCo is a member of a local network group of SENCo's who can support.
- The Senior SENCo is a member of the Exams Committee which meet fortnightly before the mocks and weekly before the external exams.
- The School is a member of The Exams Office which provides a wealth of information and support.

3. Teaching staff extended absence at key points in the exam cycle

Criteria for implementation of the plan

Key tasks not undertaken including:

Early/estimated entry information not provided to the exams officer on time; resulting in pre-release information not being received

Final entry information not provided to the exams officer on time; resulting in candidates not being entered for exams/assessments or being entered late/late or other penalty fees being charged by awarding bodies

Non-examination assessment tasks not set/issued/taken by candidates as scheduled

Candidates not being informed of centre assessed marks before marks are submitted to the awarding body and therefore not being able to consider appealing internal assessment decisions and requesting a review of the centre's marking

Internal assessment marks and candidates' work not provided to meet awarding body submission deadlines

Centre actions to mitigate the impact of the disruption

- The Senior Leadership Team meet weekly with Exams Officer on the agenda. Minutes are filed.
- GCSE Subject Teachers and Heads of Departments meet regularly with the Director of Studies and share requirements for their subject.
- GCSE Subject Teachers all have internal buddy teachers and external support networks with other schools which can be used if necessary.
- All GCSE Subject Teachers and Heads of Departments work very closely with the Exams Officer who
 is involved in all the above task.
- Entries are always agreed well in advance in case of disruption.

4. Invigilators - lack of appropriately trained invigilators or invigilator absence

Criteria for implementation of the plan

Failure to recruit and train sufficient invigilators to conduct exams Invigilator shortage on peak exam days

Invigilator absence on the day of an exam

Centre actions to mitigate the impact of the disruption

- The Exams Officer has a large bank of regular external invigilators to draw upon.
- The Exams Officer has a large of standby internal invigilators if required.

5. Exam rooms - lack of appropriate rooms or main venues unavailable at short notice

Criteria for implementation of the plan

Exams officer unable to identify sufficient/appropriate rooms during exams timetable planning

Insufficient rooms available on peak exam days

Main exam venues unavailable due to an unexpected incident at exam time

Centre actions to mitigate the impact of the disruption

- Standby rooms within the School are available if necessary and can facilitate the whole cohort.
- The school has a relationship with the local primary school who can support if there is a case of emergency.

6. Cyber-attack

Criteria for implementation of the plan

Where a cyber-attack may compromise any aspect of delivery

Centre actions to mitigate the impact of the disruption

- Promptly reporting any incidents to the relevant awarding body/bodies which might compromise any aspect of assessment delivery such as a cyber-attack
- Where candidates produce work electronically, ensuring their work is backed-up regularly and stored securely on the centre's IT system and backed up to the cloud.
- Ensuring protection of the candidates' work from corruption and considering the risks and implications of any cyber-attack
- Following and regularly reviewing National Cyber Security Centre advice for support in cyber security preparedness and mitigation work / Using the NCSC's free Web Check and Mail Check services to help protect from cyber-attacks
- Exams Officer has hard files for timetabling and resources. All that can be continued remotely. With the support of Eduthing, our network backed up online using Redstor
- SENCo has hard copies filed on-site.

7. Failure of IT systems

Criteria for implementation of the plan

MIS system failure at final entry deadline

MIS system failure during exams preparation

MIS system failure at results release time

- All entries, preparation and results release are done so manually and not currently via a MIS system.
- We can work remotely are an alternative agreed site.

8. Emergency evacuation of the exam room (or centre lock down)

Criteria for implementation of the plan

Whole centre evacuation (or lock down) during exam time due to serious incident resulting in exam candidates being unable to start, proceed with or complete their exams

Centre actions to mitigate the impact of the disruption

- If the main exam hall is unavailable due to an unexpected incident at exam time, where possible, we will make use of other available rooms within the centre, prioritising candidates whose progression will be severely delayed if they do not take their exam or timetabled assessment when planned.
- We will identify whether the exam or timetabled assessment can be sat at an alternative venue, in agreement with the relevant awarding body.
- If the main exam venue is unavailable due to an unexpected incident at exam time, where possible, we will move to alternative venue, prioritising candidates whose progression will be severely delayed if they do not take their exam or timetabled assessment when planned.

Possible alternative venue details:

Stamford Green Primary School Christ Church Mount Epsom KT19 8LU 01372 725383

- We will communicate with candidates (and where appropriate, parents/carers) any changes to the
- exam or assessment timetable or venue.
- We will communicate with candidates (and where appropriate, parents/carers) any changes to the exam or assessment timetable or to the venue.
- We will communicate with candidates (and where appropriate, parents/carers) any changes to the exam or assessment timetable or to the venue

Communication details:

Candidates and Parents will the contacted by phone and then followed up in writing by the Head of Seniors.

- We will ensure the secure transportation of question papers or assessment materials to the alternative venue
- After the exam, we will consider whether any candidate's ability to take the assessment or demonstrate their level of attainment has been materially affected and, if so, apply for special consideration.

9. Disruption of teaching time in the weeks before an exam – centre closed for an extended period

Criteria for implementation of the plan

Centre closed or candidates are unable to attend for an extended period during normal teaching or study supported time, interrupting the provision of normal teaching and learning

Centre actions to mitigate the impact of the disruption

 Revert to our successful online learning programme that has be proven effective during lockdowns periods.

10. Candidates unable to take examinations because of a crisis – centre remains open

Criteria for implementation of the plan

Candidates are unable to attend the examination centre to take examinations as normal

Centre actions to mitigate the impact of the disruption

- Exam Boards contacted ASAP.
- Consider alternative agreed site.

11. Centre unable to open as normal during the examination period

(including in the event of the centre being unavailable for examinations owing to an unforeseen emergency)

Criteria for implementation of the plan

Centre unable to open as normal for scheduled examinations

Centre actions to mitigate the impact of the disruption

- Exam Boards contacted ASAP.
- Consider alternative agreed site. Alternative site

12. Disruption in the distribution of examination papers

Criteria for implementation of the plan

Disruption to the distribution of examination papers to the centre in advance of examinations

- Exam boards have provided us with electronic access to examination papers via a secure external network. We are able to ensure that copies are received, made and stored under secure conditions and should have plans in place to facilitate such an action.
- Contact Exam boards for guidance on the conduct of examinations in such circumstances.
- And as a last resort, and in close collaboration with centres and regulators, Exam boards to consider scheduling of the examination on an alternative date.

13. Disruption to the transportation of completed examination scripts

Criteria for implementation of the plan

Delay in normal collection arrangements for completed examination scripts/assessment evidence

Centre actions to mitigate the impact of the disruption

- Where examinations are part of the national 'yellow label' service or where Exam boards arrange
 collections, we will seek advice from Exam boards and will not make our own arrangements for
 transportation unless told to do so by the Exam board.
- For any examinations where we make their own arrangements for transportation, we will investigate alternative dispatch options that comply with the requirements detailed in the JCQ Instructions for Conducting Examinations.
- We will ensure secure storage of completed examination papers until collection.

14. Assessment evidence is not available to be marked

Criteria for implementation of the plan

Large scale damage to or destruction of completed examination scripts/assessment evidence before it can be marked

Completed examination scripts/assessment evidence does not reach awarding organisations

Centre actions to mitigate the impact of the disruption

- Exam boards to generate candidate marks for affected assessments based on other appropriate evidence of candidate achievement as defined by the Exam boards.
- Where marks cannot be generated by Exams boards, candidates may need to retake affected assessment in a subsequent assessment series.

15. Centre unable to distribute results as normal or facilitate post results services (including in the event of the centre being unavailable on results day owing to an unforeseen emergency)

Criteria for implementation of the plan

Centre is unable to access or manage the distribution of results to candidates, or to facilitate post-results services

- Distribution of results:
- We will make arrangements to access our results at an alternative site, in agreement with the relevant Exam board.
- We will make arrangements to coordinate access to post results services from an alternative site.
- We will share facilities with other centres if this is possible, in agreement with the relevant Exam boards.
- Facilitation of post results services:
- We will make arrangements to make post results requests at an alternative location.
- We will contact the relevant Exam board if electronic post results requests are not possible.
- Alternative venue details

Further guidance to inform procedures and implement contingency planning

Ofqual

What schools and colleges and other centres should do if exams or other assessments are seriously disrupted

Contingency planning

You should prepare for possible disruption to exams and other assessments and make sure staff are aware of these plans.

When drafting contingency plans, you should consider the following guidance:

General contingency guidance

- Emergency planning and response from the Department for Education in England
- Opening and closing local-authority-maintained schools from the Department for Education in England
- Exceptional closure days from the Department of Education in Northern Ireland
- Checklist exceptional closure of schools from the Department of Education in Northern Ireland
- School closures from NI Direct
- Opening schools in extremely bad weather guidance for schools from the Welsh Government
- Procedures for handling bomb threats from the National Counter Terrorism Security Office.

Disruption to assessments or exams

In the absence of any instruction from the relevant awarding organisation, you should make sure that any exam or timetabled assessment takes place if it is possible to hold it. This may mean relocating to alternative premises. You should discuss alternative arrangements with your awarding organisation if:

- the exam or assessment cannot take place
- a student misses an exam or loses their assessment due to an emergency, or other event, outside of the student's control

See also:

JCQ Joint Contingency Plan for the Examination System in England, Wales and Northern Ireland

Steps you should take

Exam planning

Review contingency plans well in advance of each exam or assessment series. Consider how, if the contingency plan is invoked, you will comply with the awarding organisation's requirements.

In the event of disruption

- 1. Contact the relevant awarding organisation and follow its instructions.
- 2. Take advice, or follow instructions, from relevant local or national agencies in deciding whether your centre is able to open
- 3. Identify whether the exam or timetabled assessment can be sat at an alternative venue, in agreement with the relevant awarding organisation, ensuring the secure transportation of questions papers or assessment materials to the alternative venue.
- 4. Where accommodation is limited, prioritise students whose progression will be severely delayed if they do not take their exam or timetabled assessment when planned.
- 5. In the event of an evacuation during an examination please refer to JCQ's Centre emergency evacuation procedure.
- 6. Communicate with parents, carers and students any changes to the exam or assessment timetable or to the venue.
- 7. Communicate with any external assessors or relevant third parties regarding any changes to the exam or assessment timetable.

After the exam

- 1. Consider whether any students' ability to take the assessment or demonstrate their level of attainment has been materially affected and, if so, apply for special consideration.
- 2. Advise students, where appropriate, of the opportunities to take their exam or assessment at a later date.
- 3. Ensure that scripts are stored under secure conditions.
- 4. Return scripts to awarding organisations in line with their instructions. Never make alternative arrangements for the transportation of completed exam scripts, unless told to do so by the awarding organisation.

Steps the awarding organisation should take

Exam planning

- 1. Establish and maintain, and at all times comply with, an up-to-date, written contingency plan.
- 2. Ensure that the arrangements in place with centres and other third parties enable them to deliver and award qualifications in accordance with their conditions of recognition.

In the event of disruption

- Take all reasonable steps to mitigate any adverse effect, in relation to their qualifications, arising from any disruption.
- Provide effective guidance to any of their centres delivering qualifications.
- Ensure that where an assessment must be completed under specified conditions, students complete the assessment
 under those conditions (other than where any reasonable adjustments or special considerations require alternative
 conditions).
- Promptly notify the relevant regulators about any event which could have an adverse effect on students, standards or public confidence.

• Coordinate its communications with the relevant regulators where the disruption has an impact on multiple centres or a wide range of learners.

After the exam

Consider any requests for special consideration for affected students. For example, those who may have lost their internally assessed work or whose performance in assessments or exams could have been affected by the disruption.

If any students miss an exam or are disadvantaged by the disruption

If some of the students have been adversely affected by the disruption, you should ask the awarding organisation about applying for special consideration.

Decisions about special consideration, when it is or is not appropriate, is for each awarding organisation to make. Their decisions might be different for different qualifications and for different subjects.

See also:

• JCQ's guidance on special considerations

Wider communications

The regulators, Ofqual in England, Qualifications Wales in Wales and CCEA in Northern Ireland, will share timely and accurate information, as required, with awarding organisations, government departments and other stakeholders.

The Department for Education in England, the Department of Education in Northern Ireland and the Welsh Government will inform the relevant government ministers as soon as it becomes apparent that there will be significant local or national disruption; and ensure that they are kept updated until the matter is resolved.

Awarding organisations will alert the Universities and Colleges Admissions Service (UCAS) and the Central Applications Office (CAO) about any impact of the disruption on their deadlines and liaise regarding student progression to further and higher education.

Awarding organisations will alert relevant professional bodies or employer groups if the impact of disruption particularly affects them.

Widespread national disruption to the taking of examinations / assessments

The governments' view across England, Wales and Northern Ireland is education should continue in 2020/21 with schools remaining open and that examinations and assessments will go ahead in both autumn 2020 and summer 2021.

As education is devolved, in the event of any widespread sustained national disruption to examinations or assessments, national government departments will communicate with regulators, awarding organisations and centres prior to a public announcement. Regulators will provide advice to government departments on implications for exam timetables.

We will update this page as necessary should national disruption occur with any further relevant links.

(Ofqual guidance extract above taken directly from the Exam system contingency plan: England, Wales and Northern Ireland - What schools and colleges and other centres should do if exams or other assessments are seriously disrupted (updated30 September 2021) https://www.gov.uk/government/publications/exam-system-contingency-plan-england-wales-and-northern-ireland/what-schools-and-colleges-should-do-if-exams-or-other-assessments-are-seriously-disrupted)

JCQ

15.1 The qualification regulators, awarding bodies and government departments responsible for education have prepared and agreed information for schools and colleges in the event of examinations being seriously disrupted. This jointly agreed information will ensure consistency of response in the event of major disruption to the examinations system affecting significant numbers of candidates.

Further information may be found at: https://www.gov.uk/government/publications/exam-system-contingency-plan-england-wales-and-northern-ireland

- 15.2 In addition, awarding bodies have their own well-established contingency plans in place to respond to disruptions. It is important that exams officers who are facing disruption liaise directly with the relevant awarding body/bodies.
- 15.3 Centres should prepare plans for any disruption to examinations as part of their general emergency planning. It is important to ensure that relevant centre staff are familiar with the plan. Consideration should be given as to how these arrangements will be communicated to candidates, parents and staff should disruption to examinations occur.
- 15.4 In the event that the head of centre decides the centre cannot be opened for scheduled examinations, the relevant awarding body must be informed as soon as possible. Awarding bodies will be able to offer advice regarding the alternative arrangements for conducting examinations that may be available and the options for candidates who have not been able to take scheduled examinations.
- 15.5 The awarding bodies will designate 'contingency days' for examinations, summer 2023. This is consistent with the qualification regulators' document Exam system contingency plan: England, Wales and Northern Ireland: https://www.gov.uk/government/publications/exam-system-contingency-plan-england-wales-and-northern-ireland

The designation of 'contingency days' within the common examination timetable is in the event of national or significant local disruption to examinations. It is part of the awarding bodies' standard contingency planning for examinations.

In the event of national disruption to a day of examinations in summer 2023, the awarding bodies will liaise with the qualification regulators and government departments to agree the most appropriate option for managing the impact. As a last resort the affected examinations will be rescheduled. Although every effort would be taken to keep the impact to a minimum, it is possible that there could be more than one timetable date affected following the disruption, up to and including the contingency day. Centres will be alerted if it is agreed to reschedule the examinations and the affected candidates will be expected to make themselves available in such circumstances. The decision regarding the rescheduling of examinations will always rest with the awarding body. The centre must conduct the examination on the scheduled date unless instructed to do otherwise by the awarding body.

Where candidates choose not to be available for the rescheduled examination(s) for reasons other than those traditionally covered by special consideration, they will not be eligible for enhanced grading arrangements. Centres must therefore ensure candidates and parents are aware of this contingency arrangement so that they may take it into account when making their plans for the summer. However, the awarding bodies will not insist upon candidates being available throughout the entire timetable period as a matter of course.

.(JCQ guidance above taken directly from **Instructions for Conducting Examination**s 2022-2023 http://www.jcq.org.uk/exams-office/ice---instructions-for-conducting-examinations, section 15, Contingency planning)

JCQ Joint Contingency Plan www.jcq.org.uk/exams-office/other-documents

JCQ Notice to Centres - Examination contingency plan/examinations policy www.jcq.org.uk/exams-office/general-regulations/notice-to-centres--exam-contingency-plan/

General Regulations for Approved Centres www.jcq.org.uk/exams-office/general-regulations

Guidance notes on alternative site arrangements www.jcq.org.uk/exams-office/online-forms

Guidance notes for transferred candidates www.jcg.org.uk/exams-office/online-forms

Instructions for Conducting Examinations www.jcq.org.uk/exams-office/ice---instructions-for-conducting-examinations

A guide to the special consideration process www.jcq.org.uk/exams-office/access-arrangements-and-special- consideration/regulations-and-guidance

GOV.UK

Dispatch of exam scripts guide: Ensuring the service runs smoothly; Contingency planning https://www.gov.uk/government/publications/dispatch-of-exam-scripts-yellow-label-service

Wales

School closures: examinations gov.wales/school-closures-examinations

Opening schools in extremely bad weather: guidance for schools gov.wales/opening-schools-extremely-badweather-guidance-schools

Northern Ireland

Exceptional closure days www.education-ni.gov.uk/articles/exceptional-closure-days

Checklist for Principals when considering Opening or Closure of School www.education- ni.gov.uk/publications/checklist-exceptional-closure-schools

National Cyber Security Centre

The NCSC's free Web Check and Mail Check services can help protect schools from cyber-attacks. Two NCSC cyber security services, which are already helping thousands of organisations to protect their websites and email servers from cyber-attacks, are now available to all UK schools. Both tools are available free of charge, are quick to set up, and thereafter run automatically. More information is available from the NCSC website.

The Department for Education has been asking centres to review National Cyber Security Centre advice following increasing number of cyber-attacks involving ransomware infections. The NCSC information supports centres in cyber security preparedness and mitigation work.

Ransomware attacks continue and the Department is reminding centres to review the NCSC advice and to take precautions. This includes ensuring that you have backups in place for your key services and data.

For ease of reference, the Department has highlighted key links relating to the NCSC cyber security guidance below:

- 1. More ransomware attacks on UK education NCSC.GOV.UK
- 2. Ransomware advice and guidance for your IT teams to implement
- 3. Offline backups in an online world
- 4. Backing up your data
- 5. Practical resources to help improve your cyber security
- 6. Building Resilience: Ransomware and the risks to schools and ways to prevent it
- 7. School staff offered training to help shore up cyber defences NCSC.GOV.UK